

Appendix **D**

Relevant Correspondence for the LMA Reporting Program

Report Submission Letter to Libraries, February 12, 2013

Report Submission Letter to Counties, February 12, 2013

2013 Local Maintaining Agency Reporting Requirements Workshop Flyer, July 2013

Fact Sheet – Local Maintaining Agency Reporting Requirements, Updated July 2013

Fact Sheet – Non-Project Levees Local Maintaining Agency Reporting Requirements, August 2013

Non-Project Levee Information Submission Letter to the LMAs, August 1, 2013

Reporting Requirements Letter to the LMAs, September 3, 2013

Local Maintaining Agency Paper Copy Reporting Guidance

Five Part Reporting Forms

US Fish and Wildlife Letter Regarding Reclamation District Nos. 2099, 2100, and 2102, September 1, 2010

DWR response to US Fish and Wildlife Letter Regarding Reclamation District Nos. 2099, 2100, and 2102, November 4, 2010

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DEPARTMENT OF WATER RESOURCESDIVISION OF FLOOD MANAGEMENT
P.O. BOX 219000
SACRAMENTO, CA 95821-9000

February 12, 2013

To: Libraries Designated by California Water Code Sections 9140 and 9141

RE: Issuance of 2012 Inspection and Local Maintaining Agency (LMA) Report

We are hereby providing the 2012 Inspection and Local Maintaining Agency Report to your library. The enclosed CD holds the electronic version of this report. The legislatively mandated (Code of Federal Regulations, Title 33, Section 208.10 and California Water Code Section 9140-9141) report includes information from the California Department of Water Resources (DWR) inspection, DWR summary of LMA reporting, United States Army Corps of Engineers (USACE) periodic inspections, DWR Erosion Survey, USACE erosion data along with other relevant information on the Central Valley State-Federal Flood Protection System. DWR is required to send the report to your library pursuant to California Water Code Section 9141, which follows:

"The department shall make the flood management report for each local agency available on the Internet Web site of the board and shall provide the report to all of the following entities:

- (1) The local agency.
- (2) Any city or county within the local agency's jurisdiction.
- (3) Any public library located within the local agency's jurisdiction."

The following web sites have links to the report along with other information relating to inspection and LMA reporting program:

<http://cdec.water.ca.gov/lma.html> and <http://cdec.water.ca.gov/fsir.html>

Hard copies of this report can be obtained via mail request to: Department of Water Resources, Publications, P.O. Box 942836, Sacramento, CA 94236, 916-653-1097 or by e-mail request to Publications at: imr-publications@water.ca.gov.

Thank you for helping to make this report available to the public. If you have any other question or need additional information, please contact me at teusuff@water.ca.gov or (916) 574-2362.

Sincerely,

Handwritten signature of Tasmin Eusuff in black ink.

Tasmin Eusuff, Chief
Local Maintaining Agency Assessment Section

Enclosure: CD

DEPARTMENT OF WATER RESOURCES

DIVISION OF FLOOD MANAGEMENT
P.O. BOX 219000
SACRAMENTO, CA 95821-9000



February 12, 2013

To: Counties Designated by California Water Code Sections 9140 and 9141

RE: Issuance of 2012 Inspection and Local Maintaining Agency (LMA) Report

We are hereby providing the 2012 Inspection and Local Maintaining Agency Report to your county Public Office. The enclosed CD holds the electronic version of this report. The legislatively mandated (Code of Federal Regulations, Title 33, Section 208.10 and California Water Code Section 9140-9141) report includes information from the California Department of Water Resources (DWR) inspection, DWR summary of LMA reporting, United States Army Corps of Engineers (USACE) periodic inspections, DWR Erosion Survey, USACE erosion data along with other relevant information on the Central Valley State-Federal Flood Protection System. DWR is required to send the report to your library pursuant to California Water Code Section 9141, which follows:

"The department shall make the flood management report for each local agency available on the Internet Web site of the board and shall provide the report to all of the following entities:

- (1) The local agency.
- (2) Any city or county within the local agency's jurisdiction.
- (3) Any public library located within the local agency's jurisdiction."

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Hard copies of this report can be obtained via mail request to: Department of Water Resources, Publications, P.O. Box 942836, Sacramento, CA 94236, 916-653-1097 or by e-mail request to Publications at: imr-publications@water.ca.gov.

Thank you for helping to make this report available to the public. If you have any other question or need additional information, please contact me at teusuff@water.ca.gov or (916) 574-2362.

Sincerely,

A handwritten signature in black ink, appearing to read "T. Eusuff".

Tasmin Eusuff, Chief
Local Maintaining Agency Assessment Section

Enclosure: CD



Department of Water Resources

2013 Local Agency Reporting Requirements Workshop

California Water Code § 9140 (Assembly Bill 156)

Who should attend?

Local Agencies and their Representatives

Scheduled Agenda:

- Introductions
- Review of 2012 Annual Report
- Program Improvements in 2013
- Regional Planning Program
- Utility Crossing Inventory Program
- Hands-on Demonstration of Web Application
- Q&A Session
- Comments

Workshop Sessions:

August 20, 2013 9:00 – 11:00am
1:30 – 3:30pm

Workshop Location:

Department of Water Resources Joint Operations Center
2nd Floor, Flood Operations Center
3310 El Camino Avenue,
Sacramento, CA 95821

Would you like to know about the improvements made by the Department of Water Resources (DWR) in the Local Maintaining Agency Annual Reporting (LMAAR) program? Do you want more information about DWR's Regional Planning Program? Would you like to be briefed by DWR on the new tool that has been developed for the Utility Crossing Inventory Program (UCIP)?

If you answered "yes" to any of the above questions, please come and join us for the sixth annual workshop hosted by DWR's Flood Project Integrity and Inspection Branch. To accommodate all interested LMAs, DWR will hold two workshops in one day at two different times. Please join us at one of the workshops that is convenient for you. The workshop will provide information to LMAs about the LMAAR Program.

At each workshop, there will be features of the electronic web application developed in partnership with the California Data Exchange Center (CDEC) and a demonstration and hands-on exercise session of the web application. The application is designed to provide LMAs with access to flood control system information and to facilitate reporting under the California Water Code (CWC § 9140) requirements resulting from Assembly Bill 156. In addition, staff from our Regional Planning and UCIP programs will present information about their programs and will be available to answer questions.

RSVP by calling or e-mailing:

Tariq Chechi at (916) 574-2354
(Tariq.Chechi@water.ca.gov)

or

Mahesh Gautam at (916) 574-2759
(Mahesh.Gautam@water.ca.gov)

Your response by **August 2, 2013**, will be much appreciated.



Please View the Updated Web Application

We invite you to view the updated electronic reporting web application at any time using the link below.

<http://cdec.water.ca.gov/lma.html>

Individual usernames and password specific to your Local Agency or Agency representatives can be requested through the CDEC e-mail address provided on the website. Existing users do not need to send another request.

We like to hear from you. Please send any comments or suggestions to:
Tasmin Eusuff at (Tasmin.Eusuff@water.ca.gov)



Last updated: July 2013

Why Am I Receiving This Fact Sheet?

This fact sheet has been prepared by the California Department of Water Resources (DWR) to help clarify additions to the California Water Code (CWC) resulting from the adoption of Assembly Bill (AB) 156 (Laird) Flood Control, which was enacted during the 2007-08 Legislative Session. Additions to the Water Code include requirements for local levee maintaining agencies (Local Agencies) to submit to DWR, by September 30 each year, specific information relative to the Project Levees they operate and maintain. In turn, DWR will be required to summarize this information in an annual report to the Central Valley Flood Protection Board (CVFPB) by December 31 each year. These additions to the Water Code were effective on July 1, 2008.

Who Is Required to Report Information?

Local Agencies who maintain either (1) **Project Levees** or (2) **Non-Project Levees** that also benefit land within the boundaries of an area benefited by a Project Levee, are required to submit five categories of information annually. *(Please see below for a description of the five categories.)*

NOTE: A **Local Agency** responsible for operation and maintenance of a levee not otherwise subject to these requirements may voluntarily prepare and submit to DWR or the CVFPB a flood management report for website posting.

What Information Is Required in the Local Agency Report?

Reports submitted to DWR by a **Local Agency** pursuant to CWC §9140 must include all of the following:

1. Information known to the Local Agency that is relevant to the condition or performance of the Project Levee *(or jurisdictional Non-Project Levee)*;
2. Information identifying known conditions that might impair or compromise the level of flood protection provided by the **Project Levee** *(or jurisdictional Non-Project Levee)*;
3. A summary of maintenance performed by the **Local Agency** during the previous fiscal year;
4. A statement of work and estimated cost for operation and maintenance of the Project Levee *(or jurisdictional Non-Project Levee)* for the current fiscal year, as approved by the Local Agency; and
5. Any other readily available information contained in the records of the Local Agency relevant to the condition or performance of the Project Levee *(or jurisdictional Non-Project Levee)*, as determined by the CVFPB or DWR.

How Can Local Agencies Submit This Information?

Based upon feedback received from Local Agencies, the Department developed a web application to facilitate reporting of Local Agency information. This web application allows Local Agencies to establish individual user accounts with access to certain DFM flood system information and the ability to submit required information electronically. The web application is active and can be accessed at <http://cdec.water.ca.gov/lma.html>.

A reporting package is mailed each year to all Local Agencies in July-August. The package includes template forms, reporting instructions, returning envelope, and letter to assist agencies with reporting. The letter provides additional background information on the electronic reporting web application.

How Will Local Agency Reports Be Used?

DWR will be required to prepare and transmit to the CVFPB an annual report on **Project Levees** (and *jurisdictional Non-Project Levees*) operated and maintained by **Local Agencies**. The annual report will summarize submitted information, as well as relevant portions of any of the following documents as determined by DWR:

1. Annual inspection reports on **Local Agency** maintenance prepared by DWR or the CVFPB;
2. The State Plan of Flood Control;
3. The flood control system status report described in CWC, Section 9120;
4. The schedule for mapping described in CWC, Section 8612;
5. Any correspondence, document, or information deemed relevant by DWR.

DWR will be required to make available for website viewing each **Local Agency's** flood management report, and will also be required to provide a copy of each report to the following entities:

1. The Local Agency;
2. Any city or county within the Local Agency's jurisdiction;
3. Any public library located within the Local Agency's jurisdiction.

What Are the Mandated Reporting Timelines?

Water Code changes become effective:	July 1, 2008
Local Agency reports to DWR :	Due September 30 each year
DWR consolidates report to CVFPB :	Due December 31 each year

Background on AB 156

AB 156 was introduced during the 2007-08 Legislative Session and was approved by the Governor and chaptered by the Secretary of State on October 10, 2007 (*Chapter 368, Statutes of 2007*). Additions to the CWC a result of AB 156 include: requirements that on or before September 30 of each year, a **Local Agency** responsible for the operation and maintenance of a **Project Levee** (or *jurisdictional Non-Project Levee*) will prepare and submit to DWR a report of specified information for inclusion in periodic flood management reports prepared by DWR (CWC §9140). By establishing these requirements for **Local Agencies**, AB 156 imposes a State-mandated local program. Additions to the Water Code were effective July 1, 2008.

Where have the Local Agency Reporting Requirements been added to the Water Code?

AB 156 has added Chapter 9, commencing with Section 9110, to Part 4 of Division 5 of the CWC. Water Code additions specific to the Local Agency Reporting Program are outlined below:

Water Code Section	Topic
Chapter 9, Article 1, Section 9110:	Selected Definitions
Chapter 9, Article 4, Section 9140:	Local Reports

Have Other Statutory Changes Resulted from AB 156?

Yes. AB 156 has resulted in additions to the Government Code, the Public Resources Code, and numerous other additions and amendments to the Water Code.

Glossary – Selected Definitions

“**Local Agency**” means a Local Agency responsible for the maintenance of a Project Levee.

“**Maintenance**” has the same meaning as that set forth in subdivision (f) of Section 12878. (*“Maintenance” means work described as maintenance by the federal regulations issued by the Secretary of the Army, the Secretary of Agriculture, the DWR, or the CVFPB for any project.*)

“**Project levee**” means any levee that is part of the facilities of the State Plan of Flood Control.

“**State Plan of Flood Control**” means the State and federal flood control works, lands, programs, plans, policies, conditions, and mode of maintenance and operations of the Sacramento River Flood Control Project described in Section 8350, and of flood control projects in the Sacramento River and San Joaquin River watersheds authorized pursuant to Article 2 (*commencing with Section 12648*) of Chapter 2 of Part 6 of Division 6 for which the CVFPB or DWR has provided *the assurances of nonfederal cooperation to the United States, and those facilities identified in Section 8361.*

“**Fiscal year**” has the same meaning as that set forth in Section 13290 of the Government Code. (The fiscal year shall commence on the first day of July)

For More Information

If you would like more information, or have questions on the Local Agency reporting requirements or web application, please contact:

Tasmin Eusuff at (916) 574-2362 (Tasmin.Eusuff@water.ca.gov), or
Mahesh Gautam at (916) 574-2759 (Mahesh.Gautam@water.ca.gov), or
Tariq Chechi at (916) 574-2354 (Tariq.Chechi@water.ca.gov)

Fact Sheet: Non-Project Levees Local Maintaining Agency Annual Reporting Requirements

California Water Code §9140 and 9141 (Assembly Bill 156, 2007)

Page 1 of 2

Department of Water Resources

August 2013

Why am I receiving this fact sheet?

The purpose of this fact sheet is to inform the Local Maintaining Agencies (LMAs) about the legislatively mandated reporting requirements primarily for the jurisdictional non-project levees. The fact sheet—the *Local Maintaining Agency Annual Reporting Requirements Fact Sheet*—was first published by the California Department of Water Resources (DWR) in 2008 and has been updated since then; it contains information on reporting requirements. As per the requirement, the LMAs are required to submit the information on project levees and jurisdictional non-project levees annually by September 30 of each year. This fact sheet on non-project levees aims to highlight those existing requirements for the jurisdictional non-project levees maintained by the LMAs.

What is a non-project levee?

Any levees that are not part of the State Plan of Flood Control are non-project levees. For the purpose of LMA annual reporting, under California Water Code 9140, non-project levees are those levees benefitting land within the boundaries of the area benefited by the project levees.

Why are non-project levees important?

There are thousands of miles of flood control levees in the Central Valley. Of these, only about 1,600 miles of levees are project levees and the rest are the non-project levees. There is a wide variation in the construction types and standards amongst the non-project levees. Despite their various types and standards, the non-project levees supplement and/or complement project levees by helping protect urban and agricultural areas and infrastructures.

Is reporting on non-project levees mandated by law?

Yes. Assembly Bill 156 was passed in 2007 by the California Legislature and requires LMAs to annually report the information on both project and jurisdictional non-project levees. Details on this requirement can be found in the California Water Code 9140 and 9141 (Assembly Bill 156, 2007).

Why LMAs should report on non-project levees they maintain?

Generally speaking, the information on non-project levees is equally of important as project levees particularly for flood preparedness and protection planning. DWR summarizes the information gathered from LMAs and submits it to the Central Valley Flood Protection Board (Board). The Board and DWR make the information available to the public through their respective websites and through counties and local libraries. Information sharing with the public and emergency management agencies is critically important for any comprehensive flood risk management planning and preparedness.

What information should be submitted?

Reports submitted to DWR by a **local agency** pursuant to CWC §9140 must include all of the following:

1. Information known to the local agency that is relevant to the condition or performance of the project levee (*or jurisdictional non-project levee*);
2. Information identifying known conditions that might impair or compromise the level of flood protection provided by the **project levee** (*or jurisdictional non-project levee*);
3. A summary of maintenance performed by the **local agency** during the previous fiscal year;
4. A statement of work and estimated cost for operation and maintenance of the project levee (*or jurisdictional non-project levee*) for the current fiscal year, as approved by the local agency; and
5. Any other readily available information contained in the records of the local agency relevant to the condition or performance of the project levee (*or jurisdictional non-project levee*), as determined by the Board or DWR.

How can the information on non-project levees be submitted?

Information on non-project levees can be provided together with information on project levees. This can be done electronically through LMA website (<http://cdec.water.ca.gov/lma.html>). Web-based information exchange can be very efficient and effective, and DWR strongly encourages LMAs to submit information electronically through the LMA reporting tool. However, for those who want to submit information by mail, forms can be downloaded from the LMA website or requested from Tariq Chechi at (916) 574-2354 (Tariq.Chechi@water.ca.gov) or Mahesh Gautam at (916) 574-2759 (Mahesh.Gautam@water.ca.gov).

What other additional information LMAs can provide?

Unlike project levees, it is likely that DWR may not have detailed information on all non-project levees. LMAs are therefore encouraged to provide as much information as they can on the non-project levees they maintain. Specific forms are provided in LMA website to assist LMAs to submit information in hard copy format or electronically.

Who should I contact if I have some questions?

Tasmin Eusuff at (916) 574-2362 (Tasmin.Eusuff@water.ca.gov)

DEPARTMENT OF WATER RESOURCES

DIVISION OF FLOOD MANAGEMENT
P.O. BOX 219000
SACRAMENTO, CA 95821-9000



August 01, 2013

To: Local Levee Maintaining Agencies

RE: Non-project levee information

As you know, California Water Code (CWC) § 9140 requires the Local Maintaining Agencies (LMAs) to provide five categories of information related to the project and non-project levees to the Department of Water Resources (DWR). For the past five years, DWR has received information on the project levees maintained by the LMAs. This year, DWR is also asking the LMAs to submit information on the non-project levees.

Non-project levees are those levees which benefit the land within the boundaries of the area benefited by the project levees. In most cases, non-project levees are operated and maintained by the LMAs. For more information, please refer to the enclosed fact sheet on non-project levees.

To facilitate reporting on non-project levees, DWR is building a non-project levee database. By sharing your information on non-project levees, you will help us enhance the jurisdictional non-project levee database and integrate to our existing web-based reporting tool. The purpose of this letter is to solicit your assistance on this effort through the following activities:

- 1) Please fill out the enclosed "Non-project levee information form" and send it to us using the enclosed return envelope. If you prefer electronic submission instead, you can either do it through our web application at <http://cdec.water.ca.gov/lma.html> or by email. Please refer to the enclosed guide for web-based submission. The guide will describe how and where to access the form and files. Alternatively, for email submission, please look for the upcoming email notification with the attached file "Non-project levee information form.xls". This email will be sent to the designated contact for each LMA. We have also enclosed an example sheet of the non-project levee information form for your reference. You can also find the example form at <http://cdec.water.ca.gov/lma.html>, or please look for the attached file "Example of a filled out non-project levee information form.xls" in the upcoming email notification.

Please note that if you do not receive such an email notification from us within a week, it is likely that we do not have your email address. So, please provide us with your email address so that we can update our email list and also send you the digital copy of the enclosed material if you wish.

LMAs who do not maintain non-project levees are requested to confirm that they do not maintain non-project levees within their jurisdictions by stating "LMA does not maintain non-project levees." and send back to us by standard mail or by email.

Please review the enclosed aerial map that was compiled based on the preliminary information available to us. In some cases, it may not show all of the non-project levees maintained by your agencies or it may show non-project levees maintained by another agency. Please verify and supplement the information as applicable for any non-project

August 1, 2013
Local Levee Maintaining Agencies
Page 2

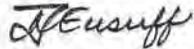
levees falling under the LMA's jurisdiction. An example of a marked-up map and a document which lists marked-up changes are enclosed for your reference. Once you verify the non-project levee map of your agency, please send back to us the map with any marked-up changes either by standard mail or by email.

- 2) Please feel free to share with DWR copies of any maps or any other non-project levee related information for your agency.

We have enclosed an envelope with our address (also noted below) for returning to us the form and marked-up maps and any other information about non-project levees maintained by the LMA (Department of Water Resources, Flood Project Integrity and Inspection Branch, P.O. BOX 219000, Room 256, Sacramento, CA 95821-9000, Attn: Tasmin Eusuff).

If you have any questions or comments, please contact me at (916) 574-2362 (Tasmin.Eusuff@water.ca.gov), Tariq Chechi at (916) 574-2354 (Tariq.Chechi@water.ca.gov) or Mahesh Gautam at (916) 574-2759 (Mahesh.Gautam@water.ca.gov).

Sincerely,



Tasmin Eusuff, Chief
Local Maintaining Agency Assessment Section

Enclosures:

- Non-project levee fact sheet
- Non-project levee information form
- Non-project levee information form (Example)
- Agency's aerial map
- Example map with marked-up changes
- Sample: Non-project levee verification
- Guide for web-based submission of non-project levee information
- Return envelope

DEPARTMENT OF WATER RESOURCES

DIVISION OF FLOOD MANAGEMENT
P.O. BOX 219000
SACRAMENTO, CA 95821-9000



September 3, 2013

To: Local Maintaining Agencies

RE: Local Agency Reporting Requirements

The purpose of this letter is to remind you of the California Water Code (CWC) § 9140 Local Maintaining Agency Annual Reporting deadline and the tools available for submitting the required information.

CWC § 9140 includes requirements for Local Maintaining Agencies (LMAs) to provide an annual report to the Department of Water Resources (DWR) on the following five categories of information:

1. Information known to the LMA that is relevant to the condition or performance of the project levee (or jurisdictional non-project levee).
2. Information identifying known conditions that might impair or compromise the level of flood protection provided by the project levee (or jurisdictional non-project levee).
3. A summary of maintenance performed by the LMA during the previous fiscal year.
4. A statement of work and estimated cost for operation and maintenance of the project levee, (or jurisdictional non-project levee), for the current fiscal year, as approved by the LMA.
5. Any other readily available information contained in the records of the LMA relevant to the condition or performance of the project levee, (or jurisdictional non-project levee), as determined by the Central Valley Flood Protection Board (CVFPB) or DWR.

The CWC deadline for the LMA to submit the information to DWR is **September 30, 2013**.

In turn, DWR is required to consolidate this information and provide a summary report to the CVFPB by **December 31, 2013**.

DWR has developed both electronic and hard copy reporting options to assist the LMA in meeting the annual reporting requirements. The electronic reporting 'Web Application' can be found by clicking on the *Local Maintaining Agency Annual Report (Web Application)* link at the LMA Program site located at:

<http://cdec.water.ca.gov/lma.html>

September 3, 2013
Local Levee Maintaining Agencies
Page 2

This site, developed in coordination with the California Data Exchange Center (CDEC), provides additional references (Fact Sheet, Maps, Reporting Examples, Reporting Template forms, Web Application User Guide, etc) and instructions on how to request an online account.

For hard copy reporting, or for submitting documents or attachments, we have enclosed the reporting instructions and template forms. After completing these forms, please send them to the return address provided below:

Department of Water Resources
Flood Project Integrity and Inspection Branch
P.O. BOX 219000, Room 256
Sacramento, CA 95821-9000
Attn: Tasmin Eusuff

To meet the CVFPB's December 31 deadline, DWR is encouraging LMAs to submit reports by September 30, 2013. Any information submitted after this date may not be included in the 2013 Annual Report.

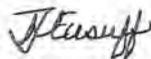
In an effort to help the LMA with reporting, DWR is constantly improving the web application and updating the website with most current information. Updated User Guide for the web application is now available on the website and the web application is now compatible with Java 7. In addition, a non-project levee information package was mailed to you on August 5, 2013 seeking information on non-project levees within your jurisdiction. All information included in the package is available on the website as well.

DWR is also offering one-on-one training on the web application where staff from DWR will come to your office and help you with Five-Part Reporting as well as non-project levee information submission. Please RSVP as soon as possible to schedule your one-on-one meeting.

Additionally, to qualify for the DWR Flood Emergency Response Grants, LMAs must be in compliance with Local Maintaining Agency Annual Report. If you need more information regarding these grants, please visit <http://www.water.ca.gov/floodmgmt/hafoo/fob/floodER/> or contact Pat Clark at (916) 574-1249 (Patricia.Clark@water.ca.gov).

If you would like to RSVP for the one-on-one training, or have any other question or comment regarding the Local Maintaining Agency Annual Reporting Program (CWC § 9140), please contact me at (916) 574-2362 (Tasmin.Eusuff@water.ca.gov), Tariq Chechi at (916) 574-2354 (Tariq.Chechi@water.ca.gov) or Mahesh Gautam at (916) 574-2759 (Mahesh.Gautam@water.ca.gov).

Sincerely,



Tasmin Eusuff, Chief
Local Maintaining Agency Assessment Section

September 3, 2013
Local Levee Maintaining Agencies
Page 3

Enclosures:
Local Agency Paper Copy Reporting Guidance
2013 Reporting Forms

Local Agency Paper Copy Reporting Guidance

The enclosed forms are provided for your use in reporting Local Agency information required by California Water Code (CWC) § 9140.

As a reminder, information may also be submitted using the electronic reporting web application developed in partnership with the California Data Exchange Center (CDEC). This electronic reporting application can be found at:

<http://cdec.water.ca.gov/lma.html>

For paper copy reporting you may also wish to include, or simply submit reports your Local Agency generates, other attachments, photographs, spreadsheets, etc. An overview of the information required by CWC § 9140 is shown below:

CWC § 9140 'Parts'	Information Requested
Part 1 Reporting	Issues Relevant to Levee Condition or Performance
Part 2 Reporting	Conditions that might Impair or Compromise Level of Flood Protection
Part 3 Reporting	Summary of Levee Maintenance Performed during the Previous Fiscal Year (July 1, 2012 – June 30, 2013)
Part 4 Reporting	Statement of Levee Work and Estimated Cost for Operation & Maintenance for Current Fiscal Year (July 1, 2013 – June 30, 2014)
Part 5 Reporting	Other Readily Available Information Relevant to Levee Condition or Performance

When completed, please return the information using the enclosed pre-addressed envelope. Local Agency reports are due to the Department by **September 30th of each year**.

Further guidance on the type of information requested is described below:

Part 1 Reporting: Issues Relevant to Levee Condition or Performance	
<i>Type of Information Requested</i>	Condition/Performance Feature or Category
<p>In Part 1, the Department would like to receive information on areas of concern known by the Local Agencies relative to levee operation or maintenance conditions. The Condition/Performance Feature or Category items shown to the right are intended as a guide to the type of information categories the Department would like to see reported for Part 1. The list of items was developed using operation, maintenance, and inspection items which are related to the condition or performance of levees. The items were derived from the Standard Operation and Maintenance (O&M) Manuals published for the Sacramento and San Joaquin River Flood Control Projects, inspection checklists provided in the Supplemental Unit O&M Manuals, and the Superintendent's Guide to Operation and Maintenance of California's Flood Control Projects. These are suggested reporting items and/or categories which are intended to facilitate consistent reporting. The list is not all inclusive, and 'Other' may be used for items that are not identified. Issues identified by the Department in your inspection report do not need to be reported.</p>	Settlement, Sloughing, or Loss of Grade
	Caving (Either side of the Levee – W.S. or L.S.)
	Seepage, Saturated Areas, Sand Boils
	Levee/Berm Erosion
	Waterway/Channel Migration
	Sediment Accumulation
	Revetment/Rip-Rap
	Barren Area/no cover or sod
	Access Gates
	Access Roads and Road Ramps
	Cattle Guards and Gates
	Levee Crown Shape
	Unauthorized Grazing or Vehicle Traffic
	Unauthorized Encroachment
	Compaction/Loose Fill
	Borrow/Excavation Sites
	Accumulations of drift, trash or debris
	Vegetation
	Pipes/Crossings
	Pipe Flap Gates, Gate Valves
	Toe/Drainage Systems
Pump Stations	
Relief Wells/Piezometers	
Bridges and Crossings	
Slurry Walls	
Flood Walls	
Closure Structures	
Miscellaneous Facilities	
Other	

Part 2 Reporting: Levee Conditions that might Impair or Compromise Level of Flood Protection

Type of Information Requested	Condition Feature or Category
<p>In Part 2, the Department would like to receive information on areas of concern known by the Local Agencies relative to levee integrity/stability conditions. The Levee Condition Feature or Category items shown to the right are intended as a guide to the type of information categories the Department would like to see reported for Part 2. The selectable items list was developed considering items and conditions related to the structural integrity of, or structural changes to, the levee system. There may be many overlapping items that could be entered into either Part 2 or Part 1. The list includes typical geotechnical levee distress issues, and conditions described in the “Suggested Methods of Combating Flood Conditions” sections of the Standard Operation and Maintenance (O&M) Manuals published for the Sacramento and San Joaquin River Flood Control Projects and the associated Supplemental Unit O&M Manuals. These are suggested reporting items and/or categories which are intended to facilitate consistent reporting. The list is not all inclusive, and ‘Other’ may be used for items that are not identified. Issues identified by the Department in your inspection report do not need to be reported.</p>	Erosion
	Seepage
	Burrow Holes
	Cracks
	Sand Boils
	Subsidence
	Freeboard/Deficient Levee Crown Height
	Toe Drainage
	Visibility/Access
	Problems Identified on adjacent levees protecting same area
	Slurry Walls
	Flood Walls
	Closure Structures
	Other

Part 3 Reporting: Summary of Levee Maintenance Performed during the Previous Fiscal Year
(July 1, 2012 – June 30, 2013)

Type of Information Requested	Maintenance Category
<p>Reporting that is due on September 30th each year for Part 3 encompasses maintenance activities performed during the previous fiscal year (July 1, 2012 – June 30, 2013). The list of Maintenance Categories shown to the right is intended as a guide. The list was developed considering typical levee maintenance activities reported in previous years as well as activities described in the Standard Operation and Maintenance (O&M) Manuals published for the Sacramento and San Joaquin River Flood Control Projects, the associated Supplemental Unit O&M Manuals, and the Superintendent's Guide to Operation and Maintenance of California's Flood Control Projects. These are suggested reporting items and/or categories which are intended to facilitate consistent reporting. The list is not all inclusive, and 'Other' may be used for items that are not identified.</p>	Encroachment Control
	Erosion Repair
	Gates
	Inspections
	Insurance/Dues
	Legal/Administrative/Management Services
	Minor Structure Repair/Maintenance
	Mobile Equipment Costs
	Office Overhead
	Other
	Patrolling
	Permitting
	Restoration
	Roadways
	Rodent Control/Bait & Traps
	Rodent Control/Grouting
	Rodent Control/Other
	Sediment Removal
	Seepage Control
	Slope Dragging
	Surveying/Engineering
	Telemetry Maintenance
	Vegetation Control/Burn
Vegetation Control/Mow	
Vegetation Control/Channel	
Vegetation Control/Spray	
Vegetation Control/Thin & Trim	
Vegetation Control/Tree Removal	
Vegetation Control/Other	

Part 4 Reporting: Statement of Levee Work and Estimated Cost for Operation & Maintenance for Current Fiscal Year (July 1, 2013 – June 30, 2014)

Type of Information Requested	Maintenance Category
<p>Reporting that is due on September 30th each year for Part 4 encompasses completed or planned levee operation and maintenance activities or repair or improvement projects and their estimated costs for the current fiscal year (July 1, 2013 – June 30, 2014). The list of Maintenance Categories shown to the right is intended as a guide. The list was developed considering typical levee maintenance activities reported in 2008, as well as activities described in the Standard Operation and Maintenance (O&M) Manuals published for the Sacramento and San Joaquin River Flood Control Projects, the associated Supplemental Unit O&M Manuals, and the Superintendent's Guide to Operation and Maintenance of California's Flood Control Projects. These are suggested reporting items and/or categories which are intended to facilitate consistent reporting. The list is not all inclusive, and 'Other' may be used for items that are not identified.</p>	Encroachment Control
	Erosion Repair
	Gates
	Inspections
	Insurance/Dues
	Legal/Administrative/Management Services
	Minor Structure Repair/Maintenance
	Mobile Equipment Costs
	Office Overhead
	Other
	Patrolling
	Permitting
	Restoration
	Roadways
	Rodent Control/Bait & Traps
	Rodent Control/Grouting
	Rodent Control/Other
	Sediment Removal
	Seepage Control
	Slope Dragging
	Surveying/Engineering
	Telemetry Maintenance
	Vegetation Control/Burn
	Vegetation Control/Mow
	Vegetation Control/Channel
	Vegetation Control/Spray
Vegetation Control/Thin & Trim	
Vegetation Control/Tree Removal	
Vegetation Control/Other	

Part 5 Reporting: Readily Available Information Relevant to Levee Condition or Performance	
<i>Type of Information Requested</i>	Other Relevant Information
<p>In Part 5, the Department would like to receive other information known by the Local Agencies relevant to levee condition or performance. The Other Relevant items shown to the right are intended as a guide to the type of information categories the Department would like to see reported for Part 5. The list is intended as a guide to the type of information the Department would like to see reported for Part 5. The list was developed considering more general information items related to the levee system including reports, studies, investigations, site conditions, construction drawings, or upcoming activities which may modify, change, or impact the levee system. These are suggested reporting items and/or categories which are intended to facilitate consistent reporting. The selectable menu list is not all inclusive, and the 'Other' menu item may be selected for items that are not listed.</p>	Historical Construction Issues
	Dump/Hazardous Waste Sites
	Construction Drawings/As-Builts
	Plat Maps
	Reports/Studies
	Geotechnical Investigations
	Well Logs
	Encroachments
	Rights of Way/Easements
	Permits/MOU's
	Safety Plan
	EAP, EOP, and ERP
Other	

2013 Reporting Forms

Agency Name:				Date:
PART 1				
Part 1: Information known to the Local Agency that is relevant to the condition or performance of the Project Levee (or jurisdictional Non-Project Levee)				
Levee Unit	Location Lat/Long (Decimal) Levee Mile (LM) River Mile (RM) Stationing Other	Condition/Performance Feature or Category (See Part 1 Reporting Guidance)	Observation/Description	Date Date Identified (DI) Date Occurred (DO) Date Reported (DR)

2013 Reporting Forms

PART 2				
Part 2: Information identifying known conditions that might impair or compromise the level of flood protection provided by the Project Levee (or jurisdictional Non-Project Levee)				
Levee Unit	Location Lat/Long (Decimal) Levee Mile (LM) River Mile (RM) Stationing Other	Condition Feature or Category (See Part 2 Reporting Guidance)	Observation/Description	Date Date Identified (DI) Date Occurred (DO) Date Reported (DR)

2013 Reporting Forms

PART 3				
Part 3: A summary of maintenance performed by the Local Agency during the previous fiscal year (July 1, 2012 – June 30, 2013)				
Levee Unit	Location Lat/Long (Decimal) Levee Mile (LM) River Mile (RM) Stationing Other	Maintenance Category (See Part 3 Reporting Guidance)	Description of Activity	Date

2013 Reporting Forms

PART 4

Part 4: A statement of levee work and estimated cost for operation and maintenance of the Project Levee (or jurisdictional Non-Project Levee) for the current fiscal year, as approved by the Local Agency (July 1, 2013 – June 30, 2014)

Levee Unit	Location Lat/Long (Decimal) Levee Mile (LM) River Mile (RM) Stationing Other	Maintenance Category (See Part 4 Reporting Guidance)	Description of Activity & Cost	Date	Estimated Cost

2013 Reporting Forms

PART 5				
Part 5: Any other readily available information contained in the records of the Local Agency relevant to the condition or performance of the Project Levee (or jurisdictional Non-Project Levee), as determined by the CVFPB or DWR				
Levee Unit	Location Lat/Long (Decimal) Levee Mile (LM) River Mile (RM) Stationing Other	Other Relevant Information Category (See Part 5 Reporting Guidance)	Observation/Description	Date Date Identified (DI) Date Occurred (DO) Date Reported (DR)

DEPARTMENT OF WATER RESOURCES
FLOOD PROJECT INTEGRITY AND INSPECTION BRANCH
P.O. BOX 219000
SACRAMENTO, CA 95821-9000



November 4, 2010

To: Kim Forrest, Fish and Wildlife Service

RE: Response to your September 1, 2010 letter and request for reprint

Thank you for clarifying the ownership and maintenance situation regarding the non-functional Reclamation Districts 2099, 2100, and 2102.

We do not expect any reports from this area. However, until we receive a letter from US Corps of Engineers indicating changes in their Operation and Maintenance Manual, we are required according to CWC §9141 to keep these non-functional districts in our list.

We request your permission to use your September 1, 2010 letter in our 2010 Annual Report to explain the situation to the Central Valley Flood Protection Board. If you prefer a different version of the letter, please send it to the address below.

If you have any questions, please call or email me at 916-574-2362 or teusuff@water.ca.gov.

Thank you for good cooperation with us.

Sincerely,

A handwritten signature in black ink, appearing to read "T. Eusuff".

Tasmin Eusuff, Chief
Local Maintaining Agency Assessment Section
CA Department of Water Resources
P.O. Box 219000
Sacramento, CA 95821



United States Department of the Interior

FISH AND WILDLIFE SERVICE

San Luis National Wildlife Refuge Complex
Post Office Box 2176
Los Banos, California 93635



01 September 2010

Mr. Tasmin Eusuff, Chief
Local Maintaining Agency Assessment Section
California Department of Water Resources
Flood Project Integrity and Inspection Branch
P.O. Box 219000
Sacramento, CA 95821-9000

**Re: Memo "Request for Local Picture for
California Water Code (CWC) 9141 Local Agency Annual Report (AB 156)"**

Dear Mr. Eusuff:

We received three letters, addressed to Reclamation District Nos. 2099, 2100, and 2102. These three districts were purchased in their entirety by the U. S. Fish and Wildlife Service over ten years ago, and are now a part of the San Joaquin River National Wildlife Refuge. Those Reclamation Districts are now non-functional and no longer exist. The levees are no longer maintained because they only protected lands that are now within the Refuge. We are also working with the Army Corps of Engineers to remove any Corps levee from their Maintenance Manual. In fact, these levees have been breached by floodwaters, and we intend to construct additional breaches to restore the natural floodplain hydrology.

Please remove this Refuge from the mailing list that pertains to reclamation districts. If you have any questions, please don't hesitate to call (209/826-3508).

Sincerely,

Kim Forrest
Wildlife Refuge Manager